

STEPHEN L. COHEN (cohens@sec.gov)
MATTHEW D. STRADA (stradam@sec.gov)
SECURITIES AND EXCHANGE COMMISSION
100 F Street, N.E.
Washington, D.C. 20549-4030
Telephone: (202) 551-4472
Facsimile (202) 772-9245

Attorneys for Plaintiff

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

vs.

KENT H. ROBERTS,

Defendant

Case No.: Case No. C 07-04580 MHP

**ADR CERTIFICATION BY PARTY
AND COUNSEL**

Pursuant to Civil L.R. 16-8(b) and ADR L.R. 3-5(b), each of the undersigned certifies
that he or she has:

- (1) Read the handbook entitled "*Dispute Resolution Procedures in the Northern District of California*" on the Court's ADR internet site
www.adr.cand.uscourts.gov;
- (2) Discussed the available dispute resolution options provided by the Court and private entities; and

PROOF OF SERVICE

I am over the age of 18 years and not a party to this action. I am a citizen of the United States and a resident of the District of Columbia. My business address is: U.S. SECURITIES AND EXCHANGE COMMISSION, 100 F Street, NW, Washington, D.C. 20549-4030. On the date set forth below, I served the following documents in the manner described below:

ADR CERTIFICATION BY PARTY AND COUNSEL

- ☒ **U.S. MAIL:** I caused the above document to be placed in a sealed envelope(s), which I placed for collection and mailing today following ordinary business practices. I am readily familiar with this agency's practice for collection and processing of correspondence for mailing; such correspondence would be deposited with the U.S. Postal Service on the same day in the ordinary course of business.
- ☐ **HAND DELIVERY:** I caused to be hand delivered each such envelope to the office of the addressee.
- ☐ **FEDERAL EXPRESS:** By placing in sealed envelope(s) designated by Federal Express with delivery fees paid or provided for, which I deposited in a facility regularly maintained by Federal Express or delivered to a Federal Express courier.
- ☒ **ELECTRONIC MAIL:** By transmitting the document by electronic mail to the electronic mail address as stated below.

on the following counsel of record:

William S. Freeman
Cooley Godward Kronish LLP
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
freemanws@cooley.com

Executed on November 14, 2007, at Washington, D.C.

STEPHEN L. COHEN